

9 December 2015

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Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street Harrisburg, Pennsylvania 17120

PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

In re: Energy Professionals, LLC notice of material change – NGS broker application update. Docket No-A-2015-2509782 A-2015-2509785

Dear Ms. Chiavetta;

In connection with Energy Professionals, LLC's (the "Company") NGS broker application; Application No. A-2015-2509785 please find the following update to the information found on the Application with respect to ownership of the Company.

At the time of the renewal application, the owner of Energy Professionals, LLC (Company) was FMR Management, Inc. On November 4, 2015, FMR Management, Inc. contributed 100% of its ownership interest in the Company to AGPB Holdings, LLC, a Delaware limited liability company ("AGPB"). AGPB is a holding company with no business operations.

AGPB then formed a new entity in the name of Quantum Energy Holdings, LLC ("Quantum"), a Delaware limited liability company, as part of a joint venture with GPB Energy Holdings, LLC ("GPB"), a Delaware limited liability company. Pursuant to the applicable operating agreement, AGPB contributed 100% of its ownership of the Company to Quantum for a 50% ownership position of Quantum. GPB made a cash contribution to Quantum for a 50% ownership position of Quantum. Quantum is a holding company with no business operations outside of the ownership of the Company.

Therefore, the new and current owner of the Company is Quantum, which is jointly owned in equal parts by AGPB and GPB. While GPB is represented on the Board of Directors of Quantum, it is not otherwise involved with any of the day-to-day operations of the Company. Matt Judkin will remain as the CEO of the Company. This change in corporate structure has not changed the day to day operations of the Company.

A-2015-2509785

In addition to the above, the following changes need to be made to our application – the corrected information will be entered below each question:

#### 1. IDENTIFICATION AND CONTACT INFORMATION

**a. IDENTITY OF THE APPLICANT**: Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

Energy Professionals, LLC 9701 International Court North, Suite #A St. Petersburg, FL 33716 (877) 343-9044 www.energyprofessionals.com

### 2. BUSINESS ENTITY FILINGS AND REGISTRATIONS

### B. Business entity and department of state filings:

Give the name and address of officers:

Matt Judkin, Chief Executive Officer 9701 International Court North, Suite #A St. Petersburg, FL 33716

Adolfo Quintero, Chief Operating Officer 9701 International Court North, Suite #A St. Petersburg, FL 33716

Herb Zerden, Chief Financial Officer 9701 International Court North, Suite #A St. Petersburg, FL 33716

Thomas Colby, General Manager 9701 International Court North, Suite #A St. Petersburg, FL 33716 RECEIVED

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### 3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

a. AFFILIATES: Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Utilities Marketing Group, LLC (not a jurisdictional public utility) 9701 International Court North, Suite #A St. Petersburg, FL 33716

AGR Group Nevada, LLC (not a jurisdictional public utility) 6275 S. Pearl Street, Suite 100-300 Las Vegas, NV 89021

I am also enclosing an updated Tax Certification Statement per Mr. McCracken's instructions. We'd inadvertently checked "N/A" on the Corporate Box Number.

Should you have any questions regarding these changes, please feel free to contact me at (714) 264-0611 or via email at <a href="mailto:lzepeda@energyprofessionals.com">lzepeda@energyprofessionals.com</a>.

Sincerely,

Laura Zepeda

Director of Corporate Affairs Energy Professionals, LLC

cc: Jeff McCracken

enclosures: Tax Certification Statement, Original Certificate of Service (EGS application), Original Certificate of Service (NGS application).

### Appendix C

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# Example CERTIFICATE OF SERVICE

DEC 9 2015

On this the day of <u>Decline 10.20 15</u> I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all <u>NON-CONFIDENTIAL</u> attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120	Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120
Office of the Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101	Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946
Columbia Gas of PA, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com	Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120
Peoples Natural Gas – Equitable Division Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com
The Peoples Natural Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com
Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburgh, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com	Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com

**UGI Central Penn** UGI David Lahoff David Lahoff 2525 N. 12th Street, Suite 360 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 Reading, PA 19612-2677 PH: 610.796.3520 PH: 610.796.3520 Email: <u>dlahoff@ugi.com</u> Email: dlahoff@ugi.com Valley Energy inc. **UGI Penn Natural** David Lahoff Robert Crocker 2525 N. 12th Street, Suite 360 523 South Keystone Avenue Reading, PA 19612-2677 Sayre, PA 18840-0340 PH: 570.888-9664 PH: 610.796.3520 FAX: 570.888.6199 Email: dlahoff@ugi.com

email: bobc@ctenterprises.org

Kristin Follis-Ontiveros Corporate Paralegal 1801 E. Edinger Avenue #200 Santa Ana, CA 92705 714-242-3219 x 2443

kontiveros@utilitysalesmgt.com

### Appendix C

### **CERTIFICATE OF SERVICE**

On this the day of bound 2015, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as an Electric Generation Supplier and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom, upon the following:

Bureau of Investigation & Enforcement Pennsylvania Public Utility Commission Commonwealth Keystone Building 400 North Street, 2 West Harrisburg, PA 17120

Office of Consumer Advocate 5th Floor, Forum Place 555 Walnut Street Harrisburg, PA 17120

Small Business Advocate Commerce Building, Suite 1102 300 North Second Street Harrisburg, PA 17101

Legal Department West Penn Power d/b/a Allegheny Power 800 Cabin Hill Drive Greensburg, PA 15601-1689

Regulatory Affairs
Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219

Legal Department First Energy 2800 Pottsville Pike Reading PA, 19612

Citizens' Electric Company Attn: EGS Coordination 1775 Industrial Boulevard Lewisburg, PA 17837

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Office of the Attorney General Bureau of Consumer Protection Strawberry Square, 14th Floor Harrisburg, PA 17120

Commonwealth of Pennsylvania Department of Revenue Bureau of Compliance Harrisburg, PA 17128-0946

Director of Customer Energy Services Orange and Rockland Company 390 West Route 59 Spring Valley, NY 10977-5300

Manager Energy Acquisition PECO Energy Company 2301 Market Street Philadelphia, PA 19101-8699

Legal Department Attn: Paul Russell PPL Two North Ninth Street Allentown, PA 18108-1179

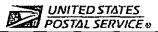
UGI Utilities, Inc. Attn: Rates Dept. – Choice Coordinator 2525 N. 12th Street, Suite 360 Post Office Box 12677 Reading, Pa 19612-2677

Wellsboro Electric Company Attn: EGS Coordination 33 Austin Street P. O. Box 138 Wellsboro, PA 16901

Kristin Pollis-Ontiveros

Paralegal, Energy Professionals, LLC kontiveros@utilitysalesmgt.com

1801 E. Edinger Ave. #200, Santa Ana, CA 92705



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TO: PENNSYLVANIA PUBLIC UTILITY COMMISSION 400 NORTH ST

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HARRISBURG PA 17120-0202

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